

Docket No.: 31578/44898
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Charles M. Cottle

Application No.: 10/597,107

Confirmation No.: 6166

Filed: April 20, 2007

Art Unit: 3695

For: Apparatus, Method and System for a Versatile
Financial Mechanism and Transaction Generator
and Interface

Examiner: Gregory A. Pollock

Amendment in Response to Non-Final Office Action Under 37 C.F.R. 1.111

MS AM
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

INTRODUCTORY COMMENTS

In response to the Office Action dated January 4, 2010, please amend the above-identified U.S. patent application as follows:

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.